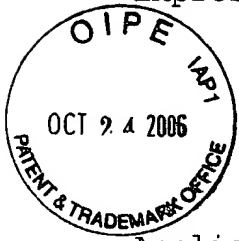


Express Mail Label No. EV977438937US

PATENTS
9955/D01/Y02/AGS/LP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Mark Holst et al.
Serial No. : 09/970,613
Filed : October 4, 2001
For : EFFLUENT GAS STREAM TREATMENT SYSTEM HAVING
UTILITY FOR OXIDATION TREATMENT OF
SEMICONDUCTOR MANUFACTURING EFFLUENT GASES
Group Art Unit : 1764
Customer No. : 41161

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

U.S. Patent No. 4,236,464, Anderson et al.
U.S. Patent No. 4,801,437, Konagaya et al.
U.S. Patent No. 5,113,789, Kamian
U.S. Patent No. 5,118,286, Sarin
U.S. Patent No. 5,575,636, Kobayashi et al.

U.S. Patent No. 3,949,057, Gilbert, Jr.

U.S. Patent No. 4,011,298, Fukui et al.

U.S. Patent No. 5,955,037, Holst et al.

U.S. Patent No. 5,281,302, Gabric et al.

U.S. Patent No. 4,584,001, Dechene

U.S. Patent No. 4,172,708, Wu et al.

U.S. Patent No. 4,479,809, Johnson et al.

Foreign Art Reference No. EP 0360941 A2 (EPO)

Foreign Art Reference No. JP 07-323211 (Japan)

Foreign Art Reference No. JP 05-296979 (Japan)

Foreign Art Reference No. JP 57-117333 (Japan)

Foreign Art Reference No. JP 08-309146 (Japan)

Foreign Art Reference No. JP 63-062528 (Japan)

Foreign Art Reference No. JP 06-063357 (Japan)

Foreign Art Reference No. JP 05-192534 (Japan)

Foreign Art Reference No. JP 61-200851 (Japan)

Abrea, et al., "Causes of anomalous solid formation in the exhaust system of low-pressure chemical vapor deposition plasma enhanced chemical vapor deposition semiconductor processes, J. Vac. Sci. Technol B 12(4) Jul./Aug. 1994, pp. 2763/2767.

SoPheTec® International Product Spec Sheet, Model C-500 Physical Water Conditioner A publication by SohTec International, Costa Mesa, California.

Klaus J. Kronenberg, "Magnetized", Aqua Magazine, Aug. 1993, pp. 20-24.

Klaus J. Kronenberg, "Magnetized II", Aqua Magazine, Sept. 1993, pp. 20-23.

SoPheTec® International Product Spec Sheet, Model I-1020 Physical Water Conditioning A publication by SohTec International, Costa Mesa, California.

SoPheTec® Science-Marketing-Technology, Two Year Anniversary Special Edition, A publication by SohTec International, Costa Mesa, California, pp. 1-6.

Copies of the references have been submitted in the parent case US Serial No. 08/775,838, filed on December 31, 1996 and related case US Serial No. 09/307,508, filed on May 7, 1999.

These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449).

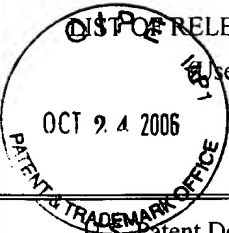
Consideration of the foregoing in relation to this
patent application is respectfully requested.

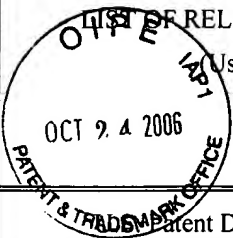
Respectfully Submitted,

A handwritten signature in black ink, appearing to read 'Brian M. Dugan', with a long horizontal flourish extending to the right.

Brian M. Dugan, Esq.
Registration No. 41,720
Dugan & Dugan, PC
Attorneys for Applicants
(914) 332-9081

Dated: 10/24/06
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office <div style="display: flex; align-items: center; justify-content: center;">  <div style="margin-left: 10px;"> RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary) </div> </div>				Docket No.: 9955/D01/Y02/AGS/LP		Serial No.: 09/970,613	
				Applicants: Mark Holst et al.			
				Filing Date: October 4, 2001		Group: 1764	
U.S. Patent Documents							
*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	US-1	4,236,464	12-1980	Anderson et al.			
	US-2	4,801,437	01-1989	Konagaya et al.			
	US-3	5,113,789	05-1992	Kamian			
	US-4	5,118,286	06/1992	Sarin			
	US-5	5,575,636	11/1996	Kobayashi et al.			
	US-6	3,949,057	04/1976	Gilbert, Jr.			
	US-7	4,011,298	03/1977	Fukui et al.			
	US-8	5,955,037	09/1999	Holst et al.			
	US-9	5,281,302	01/1994	Gabric et al.			
	US-10	4,584,001	04/1986	Dechene			
	US-11	4,172,708	10/30/79	Wu et al.			
Foreign Patent Documents							Translation
		Document Number	Date	Country	Class	Subclass	Yes No
	F-1	EP 0360941 A2	04/04/90	EPO			X
	F-2	JP 07-323211	12/12/95	Japan			X
	F-3	JP 05-296979	11/12/93	Japan			X
	F-4	JP 57-117333	07/21/82	Japan			Abstract
	F-5	JP 08-309146	11/26/96	Japan			X
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	OT-1	Abrea, et al., "Causes of anomalous solid formation in the exhaust system of low-pressure chemical vapor deposition plasma enhanced chemical vapor deposition semiconductor processes, J. Vac. Sci. Technol B 12(4) Jul./Aug. 1994, pp. 2763/2767.					
	OT-2	SoPheTec® International Product Spec Sheet, Model C-500 Physical Water Conditioner A publication by SohTec International, Costa Mesa, California.					
	OT-3	Klaus J. Kronenberg, "Magnetized", Aqua Magazine, Aug. 1993, pp. 20-24.					
Examiner			Date Considered				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.							

U.S. Department of Commerce, Patent and Trademark Office				Docket No.: 9955/D01/Y02/AGS/LP		Serial No.: 09/970,613	
 (Use several sheets if necessary)				Applicants: Mark Holst et al.			
				Filing Date: October 4, 2001		Group: 1764	

Patent Documents							
*Examiner Initial	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-12	4,479,809	Johnson et al.				
	US-13						
	US-14						
	US-15						
	US-16						
	US-17						
	US-18						
	US-19						
	US-20						
	US-21						
	US-22						

Foreign Patent Documents							Translation	
Document Number	Date	Country	Class	Subclass	Yes	No		
F-6	JP 63-062528	03/18/88	Japan		Abstract			
F-7	JP 06-063357	03/08/94	Japan		X			
F-8	JP 05-192534	08/03/93	Japan		X			
F-9	JP 61-200851	09/05/86	Japan		Abstract			
F-10								

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
OT-4	Klaus J. Kronenberg, "Magnetized II", Aqua Magazine, Sept. 1993, pp. 20-23.
OT-5	SoPheTec® International Product Spec Sheet, Model I-1020 Physical Water Conditioning A publication by SohTec International, Costa Mesa, California.
OT-6	SoPheTec® Science-Marketing-Technology, Two Year Anniversary Special Edition, A publication by SohTec International, Costa Mesa, California, pp. 1-6.

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